



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Testa

Serial No.: 09/602,515

Filing Date: 06/23/2000

For:

§ Group Art Unit: 3623

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§ Examiner: Van Doren, B.

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§ Attorney Docket No.: 4675-008

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GROUP 3600

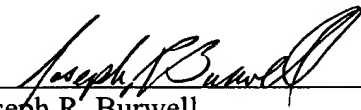
INFORMAL COMMUNICATION

Examiner Van Doren:

As discussed on the phone Thursday 07/17/2003, I am returning the Office action that I received in error; the set of US patents that accompanied the Office action is not being returned. As was discovered, a Power of Attorney document from one of my patent applications was incorrectly entered into the above-noted application; I am not an attorney for the above-noted application.

DATE: July 19, 2003

Respectfully submitted,

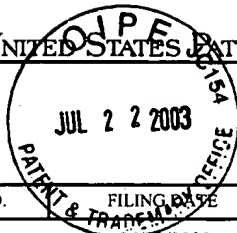


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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/602,515	06/23/2000	Leonard J. Testa	4675-008	9084

7590

07/02/2003

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EXAMINER

VAN DOREN, BETH

ART UNIT

PAPER NUMBER

3623

DATE MAILED: 07/02/2003

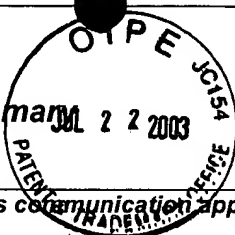
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Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary



Application No.

09/602,515

Applicant(s)

TESTA, LEONARD J.

Examiner

Beth Van Doren

Art Unit

3623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 June 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-54 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-54 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

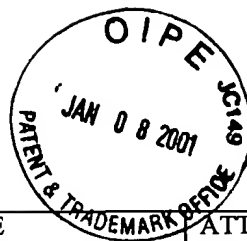
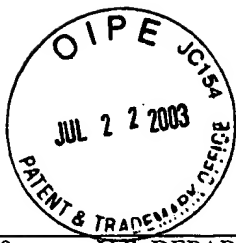
Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 2.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

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Technology Center 2100

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY DKT NO. 4675-008	SERIAL NO. 09/602,515
	APPLICANT Leonard J. Testa	
	FILING DATE June 23, 2000	GROUP 2786

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CL.	SUBCL.	FILING DATE IF APPROP.
<i>lwd</i>	A	5,136,686	08/92	Koza	395	13	
<i>lwd</i>	B	5,222,191	06/93	Enomoto	395	3	
<i>lwd</i>	C	5,319,781	06/94	Syswerda	395	650	
<i>lwd</i>	D	5,581,657	12/96	Lyon	395	13	
<i>lwd</i>	E	5,897,629	04/99	Shinagawa et al.	706	13	

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CL.	SUBCL	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent pages, etc.)

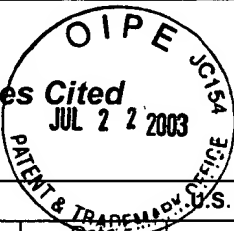
<i>lwd</i>	F	Thesis of Leonard J. Testa entitled "Evolutionary Algorithms For Solving Large Time Dependent Traveling Salesmen Problems"; copy not provided - thesis not yet published or cataloged

EXAMINER <i>Beth Van Doren</i>	DATE CONSIDERED <i>6/15/03</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

Notice of References Cited



Application/Control No.

09/602,515

Applicant(s)/Patent Under
Reexamination
TESTA, LEONARD J.

Examiner

Beth Van Doren

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U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,490,566	12-2002	Schmidt, Craig W.	705/8
	B	US-6,070,144	05-2000	Ginsberg et al.	705/9
	C	US-5,913,201	06-1999	Kocur, George A.	705/9
	D	US-5,241,465	08-1993	Oba et al.	705/8
	E	US-5,890,134	03-1999	Fox, Barry Ross	705/9
	F	US-5,319,781	06-1994	Syswerda, Gilbert P.	705/8
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

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FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

DETAILED ACTION

1. The following is a non-final, first office action on the merits. Claims 1-54 are pending.

Claim Objections

2. Claim 11 is objected to because line 23 contains a typographical error. In the limitation “designating a number of number of tasks to store” the term “number of” was accidentally repeated twice. This limitation should more appropriately be --designating a number of tasks to store--.

Claim 11 also contains a typographical error in line 27 in the limitation “efficient than an a task”. This limitation should more appropriately be --efficient than a task--.

Correction is required in both instances.

3. Claim 26 is objected to because it contains a typographical error in line 28. The term “schedule” should more appropriately be --schedules--. Correction is required.
4. Claim 28 is objected to because it is missing a semicolon at the end of limitation (c), line 15. Correction is required.

Claim Rejections - 35 USC § 112

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 1 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

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6. Claim 1, line 10, contains the limitation "to generate **a of** said plurality of time-dependent tasks". It is unclear what is being accomplished in this limitation and appears that terms have been inadvertently omitted. For examination purposes, this limitation has been construed in light of claim 28 as --to generate a **schedule for selecting [of]** said plurality of time-dependent tasks--. Clarification is required.

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Syswerda (U.S. 5,319,781).

8. As per claim 1, Syswerda teaches a scheduling system for scheduling a plurality of time-dependent tasks, said scheduling system comprising:

(a) an enumerative brute force module (See figure 5, column 2, lines 39-40, and column 5, lines 62-67, wherein tasks are listed in random order using no intelligence or reasoning);

(b) a deterministic module that considers constraints when ordering the tasks (See figures 5 and 7, column 2, lines 40-45, column 3, lines 20-40, column 4, lines 20-36, column 6, lines 1-10, and column 8, lines 1-7, that considers the constraints to determine an ordering of the tasks);

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(c) a genetic module (See figure 5, column 3, lines 50-67, column 4, lines 50-67, column 6, lines 14-35, wherein a genetic algorithm is implemented); and

(d) a partitioner module for selecting one of said brute force module, said dynamic programming module, or said genetic module to generate a schedule for selecting said plurality of time-dependent tasks (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36, column 5, lines 62-67, column 6, lines 1-10 and 14-35, column 8, lines 1-7, and the appendix, which discloses a computer implementation which gives a portion ability to divide the scheduling responsibilities by selecting one of the modules. This portion has control over the flow of the schedule generations).

However, Syswerda does not expressly disclose a dynamic programming module.

Syswerda discloses a deterministically programmed schedule builder that obtains a list of tasks and orders them using hard and soft constraints. Syswerda et al. also discloses when discussing the deterministically programmed schedule builder that this module can include a more complex scheduling techniques, as stated in column 6, lines 5-11, and column 7, lines 29-37. Dynamic programming considers changeable competing or conflicting forces, such as resource and time constraints, to produce multiple possible outcomes and therefore would be considered a specific type of deterministic scheduling by one of ordinary skill in the art. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use dynamic programming techniques in the deterministic module of Syswerda in order to increase the ability of the system to build better schedules while still acting deterministically, as stated in column 7, lines 29-40.

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9. As per claim 2, Syswerda discloses a scheduling system further comprising a constraints file, said constraints file providing an input to said partitioner module (See column 3, lines 20-40, and appendix, which discloses storing constraints in the computer implemented scheduling system).

10. As per claim 3, Syswerda teaches a scheduling system wherein said constraints file includes at least one predetermined time constraint (See column 3, lines 20-40, column 4, lines 20-40, column 6, lines 1-10, and appendix, which discloses a predetermined time constraint).

11. As per claim 4, Syswerda teaches a scheduling system wherein said predetermined time constraint further includes at least one constraint selected from the group consisting of:

(a) a precedence constraint, wherein said precedence constraint limits scheduling of said tasks according to a predetermined order of occurrence in time (See column 3, lines 20-40, column 4, lines 20-40, column 6, lines 1-10, which includes at least one of a precedence constraint, a time window constraint, a priority constraint, or a conditional constraint);

(b) a time window constraint, wherein said time window constraint limits scheduling of said tasks within a time window of a predetermined length (See column 3, lines 20-40, column 4, lines 20-40, column 6, lines 1-10, which includes at least one of a precedence constraint, a time window constraint, a priority constraint, or a conditional constraint);

(c) a priority constraint, wherein said priority constraint limits scheduling of said tasks to a sequence according to a predetermined priority (See column 3, lines 20-40, column 4, lines 20-40, column 6, lines 1-10, which includes at least one of a precedence constraint, a time window constraint, a priority constraint, or a conditional constraint); and

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(d) a conditional constraint, wherein said conditional constraint limits scheduling of said tasks based on an occurrence of at least one event (See column 3, lines 20-40, column 4, lines 20-40, column 6, lines 1-10, which includes at least one of a precedence constraint, a time window constraint, a priority constraint, or a conditional constraint).

12. As per claim 5, Syswerda discloses a scheduling system wherein the brute force module includes a task list and a schedule permutation generator and Syswerda discloses a schedule evaluator (See figure 5, column 2, lines 39-40, and column 5, lines 62-67, wherein tasks are listed in random order using no intelligence or reasoning. See at least figure 5, column 3, lines 40-49, column 4, lines 36-50, and column 6, lines 1-13, wherein evaluating the schedules produced is disclosed). However, Syswerda does not expressly disclose a schedule evaluator in the brute force module.

Syswerda discloses a brute force module that generates random schedules of tasks from the task list as well as evaluating these randomly generated schedules through the application of constraints and evaluations. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the evaluation functionality in the brute force module in order to increase the efficiency of the system by evaluating the generated schedules at each stage of the process, thereby removing excess schedules and allowing fewer and better schedules to proceed to the next process.

13. As per claim 6, Syswerda discloses a scheduling system wherein said schedule permutation generator includes a first algorithm, said first algorithm enumerating each possible permutation of the time dependent tasks in a schedule (See column 5, lines 62-67, wherein the permutation generator creates schedules with complete lists including all the tasks).

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14. As per claim 7, Syswerda teaches a scheduling system wherein said schedule evaluator is an efficiency evaluator (See at least figure 5, column 3, lines 20-30 and 40-49, column 4, lines 36-50, and column 6, lines 1-13, wherein the schedule evaluator is discussed that evaluates the ability of the system to produce a desired effect).

15. As per claim 8, Syswerda discloses a scheduling system wherein said efficiency evaluator includes at least one parameter selected from the group consisting of a time parameter, a cost parameter, and a user-defined parameter (See at least figure 5, column 3, lines 20-30 and 40-49, column 4, lines 36-50, and column 6, lines 1-13, wherein the evaluator evaluates on a parameter including at least one of time, cost, or user-defined).

16. As per claim 9, Syswerda teaches a scheduling system wherein said efficiency evaluator includes a second algorithm, said second algorithm determining an efficiency of each task based on a position of said task in a schedule (See column 5, lines 62-67, and column 6, lines 1-13, wherein each task of the randomly generated schedule is ordered and placed in its first legal position based on the constraints and then the schedule is evaluated based on the ordering).

17. As per claim 10, Syswerda discloses a scheduling system wherein said deterministic module includes a task list and a schedule permutation generator and Syswerda also discloses a schedule evaluator (See figures 5 and 7, column 2, lines 40-45, column 3, lines 20-40, column 4, lines 20-36, column 5, lines 62-67, and column 6, lines 1-13, and column 8, lines 1-7, wherein a deterministic module is disclosed that includes a task list and a schedule permutation generator. See at least figure 5, column 3, lines 40-49, column 4, lines 36-50, and column 6, lines 1-13, wherein evaluating the schedules produced is disclosed). However, Syswerda does not expressly disclose a dynamic programming module or a schedule evaluator in the module.

Syswerda discloses a deterministically programmed schedule builder that obtains a list of tasks and orders them using hard and soft constraints. Syswerda et al. also discloses when discussing the deterministically programmed schedule builder that this module can include a more complex scheduling techniques, as stated in column 6, lines 5-11, and column 7, lines 29-37. Dynamic programming considers changeable competing or conflicting forces, such as resource and time constraints, to produce multiple possible outcomes and therefore would be considered a specific type of deterministic scheduling by one of ordinary skill in the art. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use dynamic programming techniques in the deterministic module of Syswerda in order to increase the ability of the system to build better schedules while still acting deterministically, as stated in column 7, lines 29-40.

Furthermore, Syswerda discloses a module that generates schedules of tasks from the task lists as well as evaluating these generated schedules through the application of constraints and evaluations. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the evaluation functionality in the module in order to increase the efficiency of the system by combining the features, thereby removing excess schedules and allowing fewer and better schedules to proceed in a more timely manner.

18. As per claim 11, Syswerda teaches a scheduling system wherein said schedule permutation generator includes a third algorithm, said third algorithm:

(a) designating a number of tasks to store in memory at a given time (See column 3, lines 13-30, column 4, lines 20-32, and column 5, lines 51-61, wherein a number of tasks are stored in memory at a given time);

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(b) placing the number of tasks in an order of efficiency (See column 4, lines 20-50, and column 6, lines 1-15, wherein the tasks are placed in the order of efficiency); and

(c) determining whether a task outside of the number of tasks is more efficient than a task within the number of tasks (See column 4, lines 20-50 and 60-67, column 5, lines 1-5, and column 6, lines 1-15 and 30-45, wherein the schedules are scored by weighting if a task outside the schedule versus inside the schedule).

19. As per claims 12 and 13, claims 12 and 13 are rejected using the same art and rationale as claims 7 and 8, respectively.

20. As per claim 14, Syswerda teaches a scheduling system wherein said efficiency evaluator includes a fourth algorithm, said fourth enumerating each possible permutation of time dependent tasks (See figures 5 and 7, column 2, lines 40-45, column 3, lines 20-40, column 4, lines 20-36, column 6, lines 1-10, and column 8, lines 1-7, that considers the constraints to determine an ordering of the tasks and tries every possible permutation of the schedule using the constraints).

21. As per claim 15, Syswerda teaches a scheduling system wherein said genetic module includes a task list, a genetic schedule permutation generator, and a schedule evaluator (See figure 5, column 3, lines 45-67, column 4, lines 35-67, column 6, lines 32-50, wherein the module gets a task list, a permutation generator, and a portion that evaluates the schedule and eliminates low scoring members).

22. As per claim 16, Syswerda teaches a scheduling system wherein said genetic schedule permutation generator includes a fifth algorithm, said fifth algorithm mating a first schedule and

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a second schedule to breed a third schedule (See column 5, lines 25-35, which discuss crossovers).

23. As per claims 17, 18, and 19, claims 17, 18, and 19 are rejected using the same art and rationale as claims 7, 8, and 14, respectively.

24. As per claim 20, Syswerda teaches a scheduling system wherein said partitioner module includes a brute force partitioner and a residual evaluator (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36, column 5, lines 62-67, column 6, lines 1-10, and 14-35, column 8, lines 1-7, wherein tasks are listed in random order using no intelligence or reasoning. A partitioner gives the brute force module the ability to do the scheduling and generate lists of the tasks. See also column 4, lines 20-50 and 60-67, column 5, lines 1-5, and column 6, lines 1-15 and 30-45, wherein the schedules are scored by weighting if a task outside the schedule versus inside the schedule).

25. Claims 21-26 and 28-54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Syswerda (U.S. 5,319,781) in view of Oba et al. (U.S. 5,241,465).

26. As per claim 21, Syswerda discloses a scheduling system with a brute force module with a brute force partitioner (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36, column 5, lines 62-67, column 6, lines 1-10, and 14-35, column 8, lines 1-7, wherein tasks are listed in random order using no intelligence or reasoning. A partitioner gives the brute force module the ability to do the scheduling and generate lists of the tasks). However, Syswerda does not expressly disclose a brute force time completion estimator.

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Oba et al. discloses a time completion estimator (See column 2, lines 45-55, and column 4, lines 1-7 and 29-35, wherein the scheduling system has a time completion estimator that estimates the time allowable for scheduling to occur).

Both Syswerda and Oba et al. teach scheduling systems that generate and evaluate schedules to generate optimal schedules based on the constraints. Both Syswerda and Oba et al. also teach running the schedule optimization a number of times at which point the best schedule is chosen. See column 6, lines 32-45, of Syswerda which discusses running the optimization a predetermined number of times and see column 2, lines 45-55, and column 4, lines 1-7 and 29-35, of Oba et al. which discusses running the optimization a predetermined amount of time. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include a time completion estimator that limited the amount of time for optimization in the system of Syswerda in order to increase the timeliness of the system in generating a schedule for a user by limiting the optimization and evaluation time to a specific interval at which point the best schedule generated thus far is chosen.

27. As per claim 22, Syswerda teaches a scheduling system wherein said brute force time completion estimator includes a seventh algorithm, said seventh algorithm:

(a) generating a given number of schedule permutations using the brute force module (See figure 5, column 2, lines 39-40, and column 5, lines 62-67, wherein tasks are listed in random order in schedule permutations using no intelligence or reasoning);

However, Syswerda does not expressly disclose steps (b) and (c).

Oba et al. discloses :

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(b) estimating a time to complete all possible schedule permutations using the module based on the time taken to generate the given number of schedule permutations (See column 2, lines 45-55, and column 4, lines 1-12 and 29-45, wherein a time is estimated and stored); and

(c) determining whether the estimated time to complete all possible schedule permutations using the module exceeds a given time limit (See column 2, lines 45-55, and column 4, lines 1-12 and 29-45, wherein the estimated time is evaluated).

Both Syswerda and Oba et al. teach scheduling systems that generate and evaluate schedules to generate optimal schedules based on the constraints. Both Syswerda and Oba et al. also teach running the schedule optimization a number of times at which point the best schedule is chosen. See column 6, lines 32-45, of Syswerda which discusses running the optimization a predetermined number of times and see column 2, lines 45-55, and column 4, lines 1-7 and 29-35, of Oba et al. which discusses running the optimization a predetermined amount of time. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include a time completion estimator that estimates the time needed for schedule optimization and makes determinations concerning said estimated time in the system of Syswerda in order to increase the timeliness of the system in generating a schedule for a user by limiting the optimization and evaluation time to a specific interval at which point the best schedule generated thus far is chosen.

28. As per claim 23, Syswerda teaches a scheduling system with a deterministic module, a genetic module, and a residual evaluator (See figures 5 and 7, column 2, lines 40-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36 and 50-67, column 6, lines 1-10 and 14-35, and column 8, lines 1-7, that considers the constraints to determine an ordering of the tasks and a

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genetic algorithm being implemented. See also column 4, lines 20-50 and 60-67, column 5, lines 1-5, and column 6, lines 1-15 and 30-45, wherein the schedules are scored by weighting if a task outside the schedule versus inside the schedule). However, Syswerda does not expressly disclose a dynamic program module or a time completion estimator in the residual evaluator.

Oba et al. discloses a time completion estimator (See column 2, lines 45-55, and column 4, lines 1-7 and 29-35, wherein the scheduling system has a time completion estimator that estimates the time allowable for scheduling to occur).

Both Syswerda and Oba et al. teach scheduling systems that generate and evaluate schedules to produce optimal schedules based on the constraints. Both Syswerda and Oba et al. also teach running the schedule optimization a number of times at which point the best schedule is chosen. See column 6, lines 32-45, of Syswerda which discusses running the optimization a predetermined number of times and see column 2, lines 45-55, and column 4, lines 1-7 and 29-35, of Oba et al. which discusses running the optimization a predetermined amount of time. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include a time completion estimator that limited the amount of time for optimization in the system of Syswerda in order to increase the timeliness of the system in generating a schedule for a user by limiting the optimization and evaluation time to a specific interval at which point the best schedule generated thus far is chosen.

Furthermore, Syswerda discloses a deterministically programmed schedule builder that obtains a list of tasks and orders them using hard and soft constraints. Syswerda et al. also discloses when discussing the deterministically programmed schedule builder that this module can include a more complex scheduling techniques, as stated in column 6, lines 5-11, and column

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7, lines 29-37. Dynamic programming considers changeable competing or conflicting forces, such as resource and time constraints, to produce multiple possible outcomes and therefore would be considered a specific type of deterministic scheduling by one of ordinary skill in the art. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use dynamic programming techniques in the deterministic module of Syswerda in order to increase the ability of the system to build better schedules while still acting deterministically, as stated in column 7, lines 29-40.

29. As per claim 24, Syswerda teaches a scheduling system including a resource evaluator (See column 4, lines 10-46, which discloses scheduling based on resource constraints and checking to see if the schedule fulfills these constraints). However, Syswerda does not expressly disclose that the resource is hardware.

Oba et al. disclose that the resources being scheduled are hardware (See column 3, lines 55-65, which discloses device resources).

Both Syswerda and Oba et al. teach scheduling systems that generate and evaluate schedules including the use of resource to produce optimal schedules based on the constraints. It would have been obvious to one of ordinary skill in the art at the time of the invention to include hardware as a resource constraining the scheduling of Syswerda in order to increase the efficiency of the system in producing a schedule for a user by including all resources that would be used by said user in the course of the tasks.

30. As per claim 25, Syswerda discloses a scheduling system wherein said resource evaluator includes a memory module and a central processing unit (See column 4, lines 10-46, and the

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appendix, which discloses a resource evaluator implemented using a computer system).

However, Syswerda does not expressly disclose that the resource is hardware.

Oba et al. disclose that the resources being scheduled are hardware (See column 3, lines 55-65, which discloses device resources).

Both Syswerda and Oba et al. teach scheduling systems that generate and evaluate schedules including the use of resource to produce optimal schedules based on the constraints. It would have been obvious to one of ordinary skill in the art at the time of the invention to include hardware as a resource constraining the scheduling of Syswerda in order to increase the efficiency of the system in producing a schedule for a user by including all resources that would be used by said user in the course of the tasks.

31. As per claim 26, Syswerda discloses a scheduling system wherein said residual evaluator includes an eighth algorithm, said eighth algorithm:

(a) generating a given number of schedule permutations using the deterministic module (See figures 5 and 7, column 2, lines 40-45, column 3, lines 20-40, column 4, lines 20-36, column 6, lines 1-10, and column 8, lines 1-7, that considers the constraints to determine an ordering of the tasks, which discloses generating a number of schedule combinations).

However, Syswerda does not expressly disclose a dynamic programming module or steps (b) and (c).

Oba et al. discloses :

(b) estimating a time to complete all possible schedule permutations using the module based on the time taken to generate the given number of schedule permutations (See column 2, lines 45-55, and column 4, lines 1-12 and 29-45, wherein a time is estimated and stored); and

(c) determining whether the estimated time to complete all possible schedule permutations using the module exceeds a given time limit (See column 2, lines 45-55, and column 4, lines 1-12 and 29-45, wherein the estimated time is evaluated).

Both Syswerda and Oba et al. teach scheduling systems that generate and evaluate schedules to generate optimal schedules based on the constraints. Both Syswerda and Oba et al. also teach running the schedule optimization a number of times at which point the best schedule is chosen. See column 6, lines 32-45, of Syswerda which discusses running the optimization a predetermined number of times and see column 2, lines 45-55, and column 4, lines 1-7 and 29-35, of Oba et al. which discusses running the optimization a predetermined amount of time. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include a time completion estimator that estimates the time needed for schedule optimization and makes determinations concerning said estimated time in the system of Syswerda in order to increase the timeliness of the system in generating a schedule for a user by limiting the optimization and evaluation time to a specific interval at which point the best schedule generated thus far is chosen.

Furthermore, Syswerda discloses a deterministically programmed schedule builder that obtains a list of tasks and orders them using hard and soft constraints. Syswerda et al. also discloses when discussing the deterministically programmed schedule builder that this module can include a more complex scheduling techniques, as stated in column 6, lines 5-11, and column 7, lines 29-37. Dynamic programming considers changeable competing or conflicting forces, such as resource and time constraints, to produce multiple possible outcomes and therefore would be considered a specific type of deterministic scheduling by one of ordinary skill in the

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art. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use dynamic programming techniques in the deterministic module of Syswerda in order to increase the ability of the system to build better schedules while still acting deterministically, as stated in column 7, lines 29-40.

32. As per claim 28, Syswerda teaches a scheduling system for scheduling a plurality of time-dependent tasks, said scheduling system comprising:

- (a) an enumerative brute force module (See figure 5, column 2, lines 39-40, and column 5, lines 62-67, wherein tasks are listed in random order using no intelligence or reasoning);

- (b) a deterministic module (See figures 5 and 7, column 2, lines 40-45, column 3, lines 20-40, column 4, lines 20-36, column 6, lines 1-10, and column 8, lines 1-7, that considers the constraints to determine an ordering of the tasks);

- (c) a genetic module (See figure 5, column 3, lines 50-67, column 4, lines 50-67, column 6, lines 14-35, wherein a genetic algorithm is implemented);

- (d) a partitioner module for selecting one of said brute force module, said dynamic programming module, or said genetic module to generate a schedule for selecting said plurality of time-dependent tasks (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36, column 5, lines 62-67, column 6, lines 1-10 and 14-35, column 8, lines 1-7, and the appendix, which discloses a computer implementation which gives a portion ability to divide the scheduling responsibilities by selecting one of the modules. This portion has control over the flow of the schedule generations); and

(e) a constraint file, said constraints file providing an input to said partitioner module (See column 3, lines 20-40, and appendix, which discloses storing constraints in the computer implemented scheduling system).

(f) the functionality of grouping schedules by score and deleting those schedules grouped at specific score thresholds (See column 4, lines 20-32 and 60-67, column 5, lines 1-5, and column 6, lines 14-40, wherein schedules are evaluated, grouped by score, and deleted at a certain threshold score. Since duplicate schedules would attain the same score when evaluated by the system, duplicates would be grouped together and duplicates would be deleted).

However, Syswerda does not expressly disclose a dynamic programming module, said dynamic programming module including a hashing function and a height-balanced binary tree for providing search insertion and deletion operations.

Oba et al. discloses a dynamic programming module with a height-balanced binary tree for providing search insertion and deletion operations (See at least figure 10, column 1, lines 62-67, column 2, lines 1-5, column 4, lines 1-13, column 5, lines 10-34 and 48-65, and column 8, lines 32-65, wherein a height-balanced binary tree provides for search insertion and deletion operations for the dynamic scheduling tool).

However, Oba et al. does not expressly disclose hashing function.

Both Syswerda and Oba et al. teach scheduling systems that generate, evaluate, and eliminate schedules to generate optimal schedules based on the constraints. Syswerda et al. also discloses when discussing the deterministically programmed schedule builder that this module can include a more complex scheduling techniques, as stated in column 6, lines 5-11, and column 7, lines 29-37. Dynamic programming considers changeable competing or conflicting forces,

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such as resource and time constraints, to produce multiple possible outcomes and therefore would be considered a specific type of deterministic scheduling by one of ordinary skill in the art. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use dynamic programming techniques in the deterministic module of Syswerda in order to increase the ability of the system to build better schedules while still acting deterministically, as stated in column 7, lines 29-40.

Furthermore, Syswerda teaches grouping schedules by score and deleting those schedules grouped at specific score thresholds as well as deterministically building schedules by applying soft and hard constraints to lists of tasks. Since hashing functions are well known in the computer programming art and are used to identify and map values to a location, it would have been obvious to one of ordinary skill in the art at the time of the invention to use a hashing function to group the schedules in order to more efficiently map schedules of duplicate task lists and/or duplicate scores to the same location for easy deletion.

33. As per claims 29-51, claims 29-51 recite system claims containing comparable elements to the system of claims 3-25, respectively. Therefore, claims 29-51 are rejected using the same art and rationale as the rejections of claims 3-25, respectively.

34. As per claim 53, Syswerda teaches a method for scheduling a plurality of time-dependent tasks, said method comprising the steps of:

(a) providing an input to a partitioner module (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36, column 5, lines 62-67, column 6, lines 1-10 and 14-35, column 8, lines 1-7, and the appendix,);

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(b) selecting a scheduling module for the group consisting of: an enumerative brute force module, a deterministic module, a genetic module (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36 and 50-67, column 5, lines 62-67, column 6, lines 1-10 and 14-35, and column 8, lines 1-7, wherein scheduling modules exist to which the schedule task lists are sent); and

(c) generating a schedule (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36 and 50-67, column 5, lines 62-67, column 6, lines 1-10 and 14-35, and column 8, lines 1-7, wherein a schedule is generated using one of the modules).

However, Syswerda does not expressly disclose a dynamic programming module.

Syswerda discloses a deterministically programmed schedule builder that obtains a list of tasks and orders them using hard and soft constraints. Syswerda et al. also discloses when discussing the deterministically programmed schedule builder that this module can include a more complex scheduling techniques, as stated in column 6, lines 5-11, and column 7, lines 29-37. Dynamic programming considers changeable competing or conflicting forces, such as resource and time constraints, to produce multiple possible outcomes and therefore would be considered a specific type of deterministic scheduling by one of ordinary skill in the art. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use dynamic programming techniques in the deterministic module of Syswerda in order to increase the ability of the system to build better schedules while still acting deterministically, as stated in column 7, lines 29-40.

35. As per claim 54, Syswerda discloses a method for scheduling a plurality of time-dependent tasks, said method comprising the steps of:

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(a) providing an input to a partitioner module (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36, column 5, lines 62-67, column 6, lines 1-10 and 14-35, column 8, lines 1-7, and the appendix,);

(b) selecting a scheduling module for the group consisting of: an enumerative brute force module, a deterministic module, and a genetic module (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36 and 50-67, column 5, lines 62-67, column 6, lines 1-10 and 14-35, and column 8, lines 1-7, wherein scheduling modules exist to which the schedule task lists are sent); and

(c) generating a schedule (See figures 5 and 7, column 2, lines 39-45, column 3, lines 20-40 and 50-67, column 4, lines 20-36 and 50-67, column 5, lines 62-67, column 6, lines 1-10 and 14-35, and column 8, lines 1-7, wherein a schedule is generated using one of the modules).

(d) the functionality of grouping schedules by score and deleting those schedules grouped at specific score thresholds (See column 4, lines 20-32 and 60-67, column 5, lines 1-5, and column 6, lines 14-40, wherein schedules are evaluated, grouped by score, and deleted at a certain threshold score. Since duplicate schedules would attain the same score when evaluated by the system, duplicates would be grouped together and duplicates would be deleted).

However, Syswerda does not expressly disclose a dynamic programming module, a hashing function, or a height-balanced binary tree for providing search insertion and deletion operations.

Oba et al. teaches a height-balanced binary tree for providing search insertion and deletion operations (See at least figure 10, column 1, lines 62-67, column 2, lines 1-5, column 4,

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lines 1-13, column 5, lines 10-34 and 48-65, and column 8, lines 32-65, wherein a height-balanced binary tree provides for search insertion and deletion operations).

However, Oba et al. does not expressly disclose a hashing function.

Both Syswerda and Oba et al. teach scheduling systems that generate, evaluate, and eliminate schedules to generate optimal schedules based on the constraints. Specifically, Syswerda teaches grouping schedules by score and deleting those schedules grouped at specific score thresholds. Since hashing functions are well known in the computer programming art and are used to identify and map values to a location, it would have been obvious to one of ordinary skill in the art at the time of the invention to use a hashing function to group the schedules in order to more efficiently map schedules of duplicate task lists and/or duplicate scores to the same location for easy deletion.

Furthermore, Syswerda discloses a deterministically programmed schedule builder that obtains a list of tasks and orders them using hard and soft constraints. Syswerda et al. also discloses when discussing the deterministically programmed schedule builder that this module can include a more complex scheduling techniques, as stated in column 6, lines 5-11, and column 7, lines 29-37. Dynamic programming considers changeable competing or conflicting forces, such as resource and time constraints, to produce multiple possible outcomes and therefore would be considered a specific type of deterministic scheduling by one of ordinary skill in the art. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use dynamic programming techniques in the deterministic module of Syswerda in order to increase the ability of the system to build better schedules while still acting deterministically, as stated in column 7, lines 29-40.

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36. Claim 27 is rejected under 35 U.S.C. 103(a) as being unpatentable over Oba et al. (U.S. 5,241,465) in view Syswerda (U.S. 5,319,781).

37. As per claim 27, Oba et al. discloses a dynamic programming module adapted to provide at least one solution for a scheduling problem, said dynamic programming module including:

(a) a dynamic program module (See column 2, lines 5-25, wherein the schedule is dynamically generated using a strategy); and

(b) a height-balanced binary tree for providing search insertion and deletion operations (See at least figure 10, column 1, lines 62-67, column 2, lines 1-5, column 4, lines 1-13, column 5, lines 10-34 and 48-65, and column 8, lines 32-65, wherein a height-balanced binary tree provides for search insertion and deletion operations).

However, Oba et al. does not expressly disclose hashing function, said hashing function being capable of detecting duplicate solutions generated.

Syswerda discloses the functionality of grouping schedules by score and deleting those schedules grouped at specific score thresholds (See column 4, lines 20-32 and 60-67, column 5, lines 1-5, and column 6, lines 14-40, wherein schedules are evaluated, grouped by score, and deleted at a certain threshold score. Since duplicate schedules would attain the same score when evaluated by the system, duplicates would be grouped together and duplicates would be deleted). However, Syswerda does not specifically disclose a hashing function.

Both Syswerda and Oba et al. teach scheduling systems that generate, evaluate, and eliminate schedules to generate optimal schedules based on the constraints. Specifically, Syswerda teaches grouping schedules by score and deleting those schedules grouped at specific score thresholds. Since hashing functions are well known in the computer programming art and

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are used to identify and map values to a location, it would have been obvious to one of ordinary skill in the art at the time of the invention to use a hashing function to group the schedules in order to more efficiently map schedules of duplicate task lists and/or duplicate scores to the same location for easy deletion.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Schmidt (U.S. 6,490,566) teaches a schedule builder and constraint engine to generate schedules, the schedule builder using, for example, a genetic algorithm, and then evaluating the schedules.

Ginsberg et al. (U.S. 6,070,144) discloses scheduling using a systematic and non-systematic module, an evaluator, etc.

Kocur (U.S. 5,913,201) discusses scheduling using a linear programming module.

Fox (U.S. 5,890,134) teaches a dynamic scheduling program.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Beth Van Doren whose telephone number is (703) 305-3882.

The examiner can normally be reached on M-F, 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq Hafiz can be reached on (703) 305-9643. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-7687 for regular communications and (703) 305-7687 for After Final communications.


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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

bvd

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June 25, 2003


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